# Precise and Generalized Robustness Certification for Neural Networks

### Yuanyuan Yuan, Shuai Wang, Zhendong Su HKUST, ETH Zurich













### Robustness Certification

$$\forall x', f(x) = f(x')$$

**Guaranteed** to classify to label 8



\*Figures are from the ERAN project: <u>https://github.com/eth-sri/eran</u>

### Robustness Certification

	$f(x) = f(x'), \forall x' \in \{\tau(x, \delta) \mid 0 \le \ \delta\  \le \ \delta_{\max}\ \}$	$\forall x', f(x) = f(x')$
Sound		

	$f(x) = f(x'), \ \forall x' \in \{\tau(x, \delta) \mid 0 \le \ \delta\  \le \ \delta_{\max}\ \}$	$\exists x', f(x) \neq f(x')$
Incomplete	X	?
Complete	X	



### Diverse input mutations

semantic-level



Simple mutations: • Explicit math forms • Linear *I* 

perceptual

# The focus of previous works

contrast



Can directly get precise input space representation

Sound & complete certification

brightness pixel-level Complex M

4







translation scaling





Complex mutations: • Explicit math forms ○ Non-linear *I* 

**Over-approximated** input space representation





shearing rotation



foggy



Only incomplete certification

Geometrical Filter-based

orientation mouth style 1 style 2

Advanced mutations: • No explicit math form  $\circ$  Non-linear I



Never studied!



eyes

#### standing

Style transfer Perceptual-level



Overview



Precise:

- Deliver precise I

#### Generalized:

- Support advanced mutation
- Unified implementation
- Support conventional certification frameworks (complete/quantitative)

### Motivation: Generative Model



## A collection Infinite images by of images (inter)extrapolation Latent space

#### Data-driven mutations:

- 1) Extract mutations from diverse images
- 2) Represent mutations as moving directions in latent space

### Motivation and Problems



Certify 
$$f \circ G$$

G(z): original input G(z'): maximumly mutated inputs  $\overline{zz'}$ : corresponds to all mutated inputs  $z \rightarrow z'$ : mutating direction

The problem: G(z) changes arbitrarily with z!

### Two Requirements



**Continuity:** when performing mutations, G(z) changes continuously with z.

**Independency:** when mutating G(z) into G(z'),  $z \rightarrow z'$  should only correspond to the expected mutation.

Z'

 $\overline{zz'}$  will exclusively correspond to all mutated inputs between G(z) and G(z'). Continuity

$$\forall z, z' : \frac{1}{C}d_1(z, z') \le d_2(G(z), G(z')) \le Cd_1(z, z')$$

 $d_1$ : distance metric over z $d_2$ : distance metric over G(z)



Bound the Jacobian

norm of G!

When extracting mutations, different mutations are represented as **orthogonal** directions.

When performing local mutations, projecting the mutating direction into the **non-mutating direction** of the remaining region.

### Evaluation: Mutations

### Findings:

The resolution of G's training data affects the number of enabled (perceptual) mutations.

• Use higher resolution training data for the generative model.

Training data decide the enabled mutations and the maximal extent of mutations.

• E.g., To enable rotation 30°, augment the training data by rotating them 30°. But it's unnecessary to cover all [0,30°] to enable all rotation within [0,30°] due to continuity.

### **Evaluation:** Mutations







(a) Geometrical: rotation



(b) Global-perceptual: body color



mouth

nose



(c) Local-perceptual: opening eyes

Continuity

### Independency

### Evaluation: Certification

Complete certification over geometrical mutations

**Cost:**  $O((2^N)^L) \longrightarrow O((N^2)^L)$  Input to  $f \circ G$  is a segment

N: #maximal neurons in one layer

*L*: #layers

Findings on different neural networks:

Conv vs. FC: convolution layer can enhance the robustness
Depth: deeper neural network has better robustness
Data augmentation: can also enhance the robustness

### Evaluation: Certification

Quantitative certification over perceptual mutations

Quantifies the robustness with lower/upper bounds
 Requires inputs are represented via segments

	Global	Local		
	Orientation	Hair	Eye	Nose
Upper Bound	100%	98.1%	69.7%	95.2%
Lower Bound	97.6%	95.0%	60.3%	90.3%

Quantitative certification for face recognition.

More sensitive to mutating eyes

Orientation: change face orientation.
 Hair: change hair color.
 Eye: open/close eyes, or add glasses.
 Nose: change nose size.

### Evaluation: Certification

Quantitative certification over different mutations



- Geometrical mutation is not a major concern;
- 2. Artistic-style and filter-based mutations are more effective (consistent to the texture-bias);
- 3. Local perceptual (may mutate key attributes) is also effective.

### Summary



# Thanks!

Contact Yuanyuan for more information.





arxiv.org/pdf/2306.06747.pdf

github.com/Yuanyuan-Yuan/GCert